

[Save & Submit](#)[Save](#)[Print](#)[Cancel](#)[Check Package for Errors](#)

Grant Application Package

Opportunity Title:	WAP Formula Grants - Recovery Act
Offering Agency:	National Energy Technology Laboratory
CFDA Number:	81.042
CFDA Description:	Weatherization Assistance for Low-Income Persons
Opportunity Number:	DE-FOA-0000051
Competition ID:	
Opportunity Open Date:	03/12/2009
Opportunity Close Date:	05/12/2009
Agency Contact:	Amanda Lopez Contract Specialist E-mail: amanda.lopez@netl.doe.gov Phone: 304-285-4220

This electronic grants application is intended to be used to apply for the specific Federal funding opportunity referenced here.

If the Federal funding opportunity listed is not the opportunity for which you want to apply, close this application package by clicking on the "Cancel" button at the top of this screen. You will then need to locate the correct Federal funding opportunity, download its application and then apply.

This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name: RI WAP ARRA

Mandatory Documents

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Move Form to Complete



Move Form to Delete



Mandatory Documents for Submission

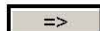
Application for Federal Assistance (SF-424)
Project/Performance Site Location(s)
Other Attachments Form

[Open Form](#)

Optional Documents

Disclosure of Lobbying Activities (SF-LLL)
--

Move Form to Submission List



Move Form to Delete



Optional Documents for Submission

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[Open Form](#)

Instructions

- 1 Enter a name for the application in the Application Filing Name field.**
 - This application can be completed in its entirety offline; however, you will need to login to the Grants.gov website during the submission process.
 - You can save your application at any time by clicking the "Save" button at the top of your screen.
 - The "Save & Submit" button will not be functional until all required data fields in the application are completed and you clicked on the "Check Package for Errors" button and confirmed all data required data fields are completed.
- 2 Open and complete all of the documents listed in the "Mandatory Documents" box. Complete the SF-424 form first.**
 - It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
 - The forms listed in the "Mandatory Documents" box and "Optional Documents" may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. "Mandatory Documents" are required for this application. "Optional Documents" can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding "Optional Documents".
 - To open and complete a form, simply click on the form's name to select the item and then click on the => button. This will move the document to the appropriate "Documents for Submission" box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the "Open Form" button to begin completing the required data fields. To remove a form/document from the "Documents for Submission" box, click the document name to select it, and then click the <= button. This will return the form/document to the "Mandatory Documents" or "Optional Documents" box.
 - All documents listed in the "Mandatory Documents" box must be moved to the "Mandatory Documents for Submission" box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.
- 3 Click the "Save & Submit" button to submit your application to Grants.gov.**
 - Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the "Save" button.
 - Click on the "Check Package for Errors" button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
 - The "Save & Submit" button will become active; click on the "Save & Submit" button to begin the application submission process.
 - You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify)

* 3. Date Received:

 Completed by Grants.gov upon submission.

4. Applicant Identifier:

5a. Federal Entity Identifier:

* 5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

 State of Rhode Island

* b. Employer/Taxpayer Identification Number (EIN/TIN):

 05-6005522

* c. Organizational DUNS:

 062313077

d. Address:

* Street1:

 Department of Administration

Street2:

 One Capitol Hill

* City:

 Providence

County:

* State:

 RI: Rhode Island

Province:

* Country:

 USA: UNITED STATES

* Zip / Postal Code:

 02908

e. Organizational Unit:

Department Name:

 Department of Administration

Division Name:

 Office of Energy Resources

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

* First Name:

 Julie

Middle Name:

 A.

* Last Name:

 Capobianco

Suffix:

Title: Chief of Low-Income Energy Efficiency Program

Organizational Affiliation:

* Telephone Number: 401-574-9111Fax Number: 401-574-9125* Email: JulieC@energy.ri.gov

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

National Energy Technology Laboratory

11. Catalog of Federal Domestic Assistance Number:

81.042

CFDA Title:

Weatherization Assistance for Low-Income Persons

* 12. Funding Opportunity Number:

DE-FOA-0000051

* Title:

WAP Formula Grants - Recovery Act

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Statewide

* 15. Descriptive Title of Applicant's Project:

RI WAP ARRA

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant

01

* b. Program/Project

01, 02

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

04/01/2009

* b. End Date:

03/31/2012

18. Estimated Funding (\$):

* a. Federal	20,073,615.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	20,073,615.00

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes☒ No

Explanation

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr. * First Name: Andrew

Middle Name:

* Last Name: Dzykewicz

Suffix:

* Title: Commissioner

* Telephone Number: 401-574-9123

Fax Number: 401-574-9125

* Email: Adzykewicz@energy.ri.gov

* Signature of Authorized Representative: Completed by Grants.gov upon submission.

* Date Signed: Completed by Grants.gov upon submission.

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

Close Form

Other Attachment File(s)

* Mandatory Other Attachment Filename:

Add Mandatory Other Attachment

Delete Mandatory Other Attachment

View Mandatory Other Attachment

To add more "Other Attachment" attachments, please use the attachment buttons below.

Add Optional Other Attachment

Delete Optional Other Attachment

View Optional Other Attachment

Project/Performance Site Location(s)

Project/Performance Site Primary Location ☐ I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name: RI Office of Energy Resources

DUNS Number: 0623130770000

* Street1: One Capitol Hill

Street2:

* City: Providence

County:

* State: RI: Rhode Island

Province:

* Country: USA: UNITED STATES

* ZIP / Postal Code: 02908-5850

* Project/ Performance Site Congressional District: RI-all

Project/Performance Site Location 1

☐ I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City:

County:

* State:

Province:

* Country: USA: UNITED STATES

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Delete Entry

Next Site

U.S. Department of Energy

(08/05)

WEATHERIZATION ANNUAL FILE WORKSHEET

Expiration Date: 6-30-08

Grant: EE00173

Amendment: 000

State: RI

Program year: 2009

Budget period: 04/01/2009 - 03/31/2012

II.3 Subgrantees

Grantee	City	Tentative	
		Funding	Units
Comprehensive Community Action Programs	Cranston	1,484,246.00	206
East Bay Community Action	Riverside	1,496,462.00	208
Providence C.A.P.	Providence	2,736,389.00	380
RI Blackstone C.A.P.	Pawtucket	2,003,427.00	278
RI South County C.A.P.	Wakefield	1,478,139.00	205
RI Tri-Town E.O.C., Inc.	Johnston	1,465,924.00	204
RI Westbay C.A.P., Inc.	Warwick	1,551,435.00	215
To Be Determined	Providence	6,016,846.00	836
TOTALS		18,232,868.00	2,532

II.4 WAP Production Schedule

Total Units (excluding reweatherized)	2,279

Units by type (excluding reweatherized):	
Owner-occupied single-family site-built	
Single-family rental site-built	
Multi-family	
Owner-occupied mobile home	
Renter-occupied mobile home	
Shelter	
Units by occupancy:	
Elderly	
Persons with disabilities	
Native American	
Children	
High residential energy user	
Household with a high energy burden	
Other unit types:	
Rewatherized Units	253

U.S. Department of Energy

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WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

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Amendment: 000

State: RI

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Budget period: 04/01/2009 - 03/31/2012

Average Unit Costs, including Reweathering, Subject to DOE Program Rules	
VEHICLES & EQUIPMENT AVERAGE COST PER DWELLING UNIT (DOE RULES)	
A	Total Vehicles & Equipment (\$5,000 or more) Budget
B	Total Units Weatherized
C	Total Units Reweatherized
D	Total Dwelling Units to be Weatherized and Reweatherized (B + C)
E	Average Vehicle & Equipment Acquisition Cost per Unit (A divided by D)
AVERAGE COST PER DWELLING UNIT (DOE RULES)	
F	Total Funds for Program Operations
G	Total Dwelling Units to be Weatherized and Reweatherized (from line D)
H	Average Program Operations Cost per Unit (F divided by G)
I	Average Vehicle & Equipment Acquisition Cost per Unit (from line E)
J	Total Average Cost per Dwelling (H plus I)

II.5 Energy Savings

Method used to calculate energy savings:

WAP algorithm

☒

Other (describe below)

☐

Rhode Island will use the calculation based on the energy savings study conducted by the Oak Ridge National Laboratory to support this figure for projected energy savings (multiplier of 30.5 MBTU).

Estimated energy savings: 77,226 (MBtu)

Estimated prior year savings:

Actual: 8,266

If variance is large, explain:

II.6 Training, Technical Assistance, and Monitoring Activities**TRAINING AND TECHNICAL ASSISTANCE ACTIVITIES**

Training and Technical Assistance activities planned for 2009 are directed at the expansion of the weatherization assistance program. OER plans to work with the subgrantees to ensure that new staff receive adequate classroom and field training. OER program monitors have been working with the new energy auditors through their field monitoring to ensure quality control. OER will continue on-going skills assessment through regular on-site monitoring visits. The subgrantee monitoring leads to the topics upon which skills training is presented. OER will plan training workshops for all or some grantees, wherever the need exists. OER will also ensure that post inspections are conducted by seasoned auditors. OER will mandate attendance at all 2009 weatherization trainings for new auditors. T&TA activities during the start of the 2009 ARRA program year will be focused on providing on-going training to newly hired subgrantee auditors and private sector contractors recruited and hired by subgrantees in response to the increase in funding in all areas (ARRA, DOE, LIHEAP, and utility DSM programs). OER began ramp-up in the Fall of 2008 when it became apparent that funding and therefore capacity and production would be increased. OER staff met with each subgrantee individually to discuss strategies for ramp up including the need to hire additional energy auditors, weatherization support staff, recruit additional contractors, and purchase additional tools, equipment and vehicles. To date, every subgrantee has hired or is in the process of hiring additional staff. OER continues to review and approve requests for tools, equipment and vehicles as appropriate. Additional auditors will be hired as needed by the WAP subgrantees. OER's ongoing training as well as field and client monitoring will ensure that all energy

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WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

Grant: EE00173**Amendment: 000****State: RI****Program year: 2009****Budget period: 04/01/2009 - 03/31/2012**

auditors maintain the required high standards. The training of new energy auditors and the assessment and upgrading of skills for existing auditors continues to be a priority for OER. OER staff and consultants will be used as needed to conduct the training as needs are identified. Subgrantees will be required to attend energy efficiency, heating system and health and safety related trainings offered by equipment manufacturers and other entities. OER also encourages subgrantees to attend statewide training programs such as the Regionally sponsored one on one onsite training. We plan to focus on air sealing and pressure diagnostics and dense pack insulation and developing the ability at the state and subgrantee level to do core testing to insure that walls are properly densepacked. The eight (8) WAP coordinator meetings and eighty + client contacts will help ensure that our joint goal of more cost-effective services for the low-income community can be achieved. The OER will also continue to update blower door and NEAT audit skills at the subgrantee level. The OER and the WAP subgrantees have a total of 10 infrared thermal imaging cameras to enhance our field monitoring and assist subgrantees in detecting sources of heat loss.

The overall approach of our T&TA efforts and the existing tools that improve our program are detailed in the On File portion of this application. In 2009, OER is planning to offer Lead Assessor Training; Blower Door Training; Infrared Thermography training; Carbon Monoxide and Combustion Safety training, and XRF Training for measuring lead paint. Training for Lead Safe Weatherization will be provided to all agencies in 2009, and we will ensure that new employees receive training in LSW practices soon after their hire (within six to eight months). We will also offer mold and moisture training in 2009, as it has not been offered since 2006. The RI Department of Health will conduct the training. All WAP subgrantees will include a mold protocol as a part of their routine audit checklist. All agencies will also have an owner signoff that covers mold.

Carbon Monoxide Detector/Smoke Detector training will also be offered in conjunction with the State Fire Marshall's Office. OER is also working with the Community College of RI to offer BPI Certification Training for WAP auditors, as well as a general energy auditor course. Only two weatherization energy auditors were able to enroll in the BPI class, so additional classes will follow. OER is strongly encouraging all energy auditors to have BPI certification. We are also exploring the possibility of offering basic energy auditor training through one or more CAP agencies, with the goal of hiring additional energy auditors.

Following is a list of the types of training OER staff and WAP subgrantees receive: Moisture and Mold Management; Dense Pack Cellulose Insulation; Blower Door Guided Air Sealing Priorities; Lead-Safe Weatherization Training; Health and Safety Training; Energy Auditor Training; Weatherization Coordinator Training; Quality Control Training for Heating Systems; Quality Control Training for Weatherization; Heating System Training; Building Science Training; Combustion Efficiency Training; Oil Burner Technician Training; Appliance Management Program Training.

OER will require attendance of appropriate subgrantee staff at OER and DOE sponsored training events.

During 2009, OER will re-evaluate and update the Weatherization Priority Measure list by completing a re-certification of the list using the National Energy Audit (NEAT). Our last audit was approved on 7/29/03.

T&TA funds will be committed to send four OER staff members to the DOE National Conference, and four staff members to the DOE Regional Technical Training. OER will also attend any other appropriate energy efficiency related that may be held in the region during the program year. OER technical field staff members incorporate technical training received into their WAP monitoring and training visits.

OER has not conducted training for mobile home audits and has relied on mobile home training opportunities offered at DOE regional training conferences and other venues.

OER has also met with several contractors who have expressed interest in working in the weatherization program. OER has supplied prospective contractors with a package of information that describes the program requirements for contractors/ OER field staff will work with contractors interested in expanding their business into the full scale weatherization capacity required in the DOE WAP. The design of the field training will vary, but the general focus will be on using and understanding blower doors, attic and transition area air sealing, using an infrared scanner, using and maintaining cellulose blowing equipment, attic insulation and dense pack sidewall insulation.

Training on Davis-Bacon/Prevailing Wages and documentation will be provided to all laborers, contractors, and sub-grantees employed on all projects. Employees and contractors will be paid at wages not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor.

OER may also work in cooperation with the RI Department of Labor and Training to take advantage of RI's existing job training

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WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

Grant: EE00173**Amendment: 000****State: RI****Program year: 2009****Budget period: 04/01/2009 - 03/31/2012**

infrastructure and train workers for weatherization skills. Job training would focus on energy efficiency training and energy auditor training, as well as contractor training in order to provide the needed skills to complete weatherization work to DOE standards. OER has budgeted \$617,694 in support of this endeavor and will most likely require leveraging of other funding sources.

LSW - During 2009, OER plans to develop a training curriculum and conduct mandatory workshops for all staff and contractors on the Minimum Standards for Lead-Safe Weatherization (LSW). OER will use the Montana State University LSW as the training standard. OER will continue to emphasize the importance to all WAP staff and contractors that lead safe work practices are required on all weatherization jobs and will use regularly scheduled on-site monitoring visits to ensure compliance. Subgrantees or contractors out of compliance with LSW practices will be mandated to complete all required remedial actions to ensure that the worksite is safe and to verify that the necessary training of the individuals involved has been completed before additional work is authorized.

All State and local WAP staff are now Certified Lead Assessors.

MONITORING

OER will monitor subgrantee activities for proper efficient management to insure that the highest quality Weatherization service is provided to eligible low-income Rhode Islanders. Each WAP subgrantee shall receive a full-scale program assessment and fiscal monitoring visit during the Program Year. The following areas will be included in RI's overall monitoring efforts: Fiscal Accountability; Accuracy of Reporting; Procurement; Recordkeeping; Sub-grantee Annual A-133 Audit Reports; Measures Performed; Quality of Workmanship; Production vs. Forecast; Waiting Lists; Review of Independent sub-grantee audits; Review of Monthly Billings; Review of sub-grantee work plans, budgets, and reported results; Inspection of Completed Units (10-15%); Examination of Client Files (goal of reviewing minimum of 60%). Subgrantee monitoring is a daily on-going process which entails the coordinated and cooperative efforts of OER and its subgrantees. Subgrantees are required to inspect 100% of all completed units prior to reporting them as completions.

In accordance with Recovery Act requirements, OER will closely monitor at a minimum: Jobs created at the sub-grantee level; jobs created using sub-grantee contractors; jobs retained at sub-grantee level; jobs retained with sub-grantee contractors; state desk monitoring/oversight visits; state on-site monitoring/oversight visits; hours trained at the sub-grantee level; equipment units purchased that are more than \$5,000; ensure a weatherized unit is an eligible dwelling unit; approved energy audit and/or priority list is applied for all Recovery Act Funds; all activities and expenditures are transparent; and any other activities that OER determines it should review to best satisfy requirements under the Recovery Act.

The OER plans to inspect a minimum of 450 units of the 2279 projected units, 200 client files will also be reviewed. Since the OER requires an approved waiver for any unit that exceeds the \$6,500 limit we anticipate that there will be fewer site visits for waiver approval, approximately 25. In addition, OER will perform seven (7) annual monitoring visits. These visits entail a detailed review of the subgrantees fiscal and programmatic operation. The OER may amend this application and select several subgrantees as exemplary subgrantees which would change the number of annual monitoring visits. The monitoring instrument that the OER uses for these visits was on file with DOE along with other tools and approaches that the OER uses to insure quality control.

Client Education

OER will ensure that the WAP subgrantee energy auditor is responsible for providing the eligible household with information and education concerning the work to be done on the home as well as general energy efficiency information. WAP subgrantees also administer the Appliance Management Program which also provides educational materials to households. OER will review agency's client education materials to be sure that the most recent and relevant information is provided to clients.

In 2009, OER will work to transition the weatherization program into a new web based data base currently in place at the Community Action Agencies called CAPTAIN. This new computer system will allow the weatherization program to operate more efficiently because it will integrate weatherization with LIHEAP data and aid in standardizing key pieces of data such as materials and labor, job costing, approval process controls etc.

U.S. Department of Energy

(08/05)

WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

Grant: EE00173**Amendment: 000****State: RI****Program year: 2009****Budget period: 04/01/2009 - 03/31/2012****II.7 DOE-Funded Leveraging Activities**

In order to increase energy savings from WAP, OER will continue to maximize subgrantee access to utility DSM energy efficiency and electric utility funded efficiency programs so as to increase the amount of funds available to comprehensively weatherize low-income homes. Rhode Island is not planning to use any DOE funds for our continuing leveraging efforts. This does not mean that we will not continue our efforts and seek additional non-federal funds, only that we will not require DOE funds for this effort.

The personnel that will be involved in these leveraging efforts are:

Personnel:

Acting Chief of Low Income Energy Efficiency Programs: This person serves as Weatherization Assistance Program Manager and is responsible for the preparation of the State Plan/Application and its implementation. She will direct our leveraging portion of our grant, attend all leveraging related meetings and search for new leveraging opportunities. OER will continue to actively pursue sources of leveraged funds to be utilized within Weatherization. The Project Manager who oversees all Low-Income programs will also be directly involved in all leveraging opportunities.

OER was successful in obtaining \$1,486,117 from National Grid in 2008 and expects to receive approximately \$3.7 million in 2009 for additional funding support for RI's Weatherization, AMP, and Boiler programs. In order to pursue other possible funding sources the OER will utilize existing staff to pursue this goal. The WAP Program Manager and Project Manager will:

* Meet with the Weatherization Subgrantee Association to discuss the actions that have already taken place and to help them formulate their plans to pursue leveraging activities.

* Meet with the Public Utilities Commission to seek their assistance and inform them as to the recent studies by DOE that clearly demonstrate that Weatherization is a cost effective energy conservation program.

* Consult and visit other states that have or are in the process of developing leveraging plans.

II.8 Policy Advisory Council Members (names, groups, agencies)

RI Department of Human Resources	Ms Gail Dunphy
RI Direct Action for Rights & Equality	Ms. Sarah Mersha
National Grid	Ms. Laura G. McNaughton
Housing Resources Commission	Mr. Raymond Neirinckx
Westbay Community Action	Ms. Jeanne Gattegno
Rhode Island Housing	Mr. Charles Pytel
RI Department of Health	Mr. Robert R. Vanderslice, PhD.

II.9 State Plan Hearings (send notes, minutes, or transcript to the DOE office)

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WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

Grant: EE00173**Amendment: 000****State: RI****Program year: 2009****Budget period: 04/01/2009 - 03/31/2012**

Hearing Date	Newspapers that publicized the hearings and the dates that the notice ran.
05/08/2009	<p>The Providence Journal (statewide) - First public hearing notice advertised on 2009 WAP plan 2/20/09. No comments received.</p> <p>The Providence Journal (statewide) - Second public hearing notice on 2009 WAP plan advertised on 4/28/09.</p>

II.10 Adjustments to On-File Information

Policy Advisory Council meets once/year to review and offer comment on annual weatherization assistance program application. Additional PAC meetings may be held if changes in policy to the RI weatherization program are imminent. For the first 2009 WAP application, the PAC met on March 2, 2009. First public hearing on 2009 WAP plan held on March 4, 2009. No attendees, and no public comment received.

For the Revised 2009 WAP application, the PAC will meet on May 6, 2009 to review both the revised 2009 application and the ARRA application. Second public hearing on revised 2009 WAP plan and ARRA WAP plan on May 8, 2009.

Rhode Island now includes electric base-load measures (EBL) where cost-effective, our audit will include an evaluation of these measures. The OER currently runs an appliance management program (AMP) through the utilities. AMP is a DSM program that assists low income customers who have a high base load electric use to save electricity in a cost-effective manner. The program targets low-income customers on the low-income electric rate who use at least 10 kWh, baseload, per day. Subgrantee field technicians provide diagnostics, education and coordination of installation of a variety of measures. Field technicians will also address other sources of high use such as improperly vented clothes dryers and uncontrolled electric space heaters. We will use DOE funds for areas of the state or clients not covered by that program. Electric base load measures can include refrigerator replacement, installing up to 45 CFL's/home, and when appropriate, changing out electric hot water heaters with smaller more efficient gas or indirect fired hot water heaters. OER is not involved in refrigerator delivery or removal, but SJ4, the company that delivers and removes the old refrigerators arranges to have the chemicals drained from the old refrigerator, and then it is salvaged and scrapped.

II.11 Miscellaneous**Energy Crisis Relief (ECR)**

Rhode Island currently utilizes LIHEAP funds for our Energy Crisis Relief (ECR) program. The activities and measures that will be performed under ECR are, but not limited to, repair of heating systems, replacement of irreparable heating systems, repair of gas or other fuel lines, pipe thawing service, repair or replacement of broken windows and loans of auxiliary heaters. OER will ensure that the RI Energy Crisis Relief Plan complies with WPN 01-7. ECR is further discussed in Health and Safety in the Master File.

LARGE MULTI-FAMILY HOUSING

As a result of our public hearing on May 8, 2009, OER has proposed dedicating a portion of our WAP ARRA funds to support statewide weatherization efforts in large multi-family housing. In the past, Rhode Island sub grantees have not typically pursued large multi-family projects due to limited resources. However, Rhode Island's proposed ARRA weatherization plan recognizes that multifamily proposals, especially if done through a coordinated effort among funding sources, may result in significant, concentrated energy-efficient improvements. Rhode Island is proposing to reserve 33% of weatherization funds for this purpose. These funds will be used to focus on larger multifamily housing units, using vendors selected through an RFP process.

U.S. Department of Energy

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WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

Grant: EE00173**Amendment: 000****State: RI****Program year: 2009****Budget period: 04/01/2009 - 03/31/2012****PROGRAM REQUIREMENT #1**

The allocation requirements and priorities set forth in Section 440.15 (A) have been compiled. OER insures that all Weatherization assistance funds are to be allocated to non-profit corporations operating under Title II of the Economic Opportunity Act of 1964, 42 USC - 2809. The allocation process is based upon negotiation of individual contracts with non-profit corporations. The determining factors are past performance by service area, incidence of poverty in the area covered and the ability of the agency to weatherize homes effectively. Because of the small size of the State, this process allows for the most effective use of the Department of Energy's funds.

PROGRAM REQUIREMENT #2

No dwelling unit may be weatherized without documentation that the dwelling unit is an eligible dwelling unit. Applications for assistance under this Program will be made through the Weatherization Service Agency who has the responsibility of insuring that the applicant represents a family unit whose income is at or below minimum income guidelines. Only families with income no higher than the figures listed below may be assisted. The income categories listed below are the same guidelines used for the Low-Income Home Energy Assistance Program (60% of median). Rhode Island elects to use these income guidelines for the Weatherization Assistance Program.

FAMILY SIZE

1	\$24,395
2	31,901
3	39,407
4	46,913
5	54,420
6	61,926
7	63,333
8	64,740

The contract between the Office of Energy Resources (OER) and the Weatherization Service Area agency mandates that each agency certify the income eligibility of those recipients of Weatherization assistance. The OER will ensure compliance through examination of records and report for each non-profit corporation.

OER has also developed a statewide application that ensures that all information requested from the client allows to the maximum extent possible, the most accurate and complete data to determine income eligibility.

Temporary Disqualification of Certain Aliens The OER will comply with all requirements set for in 8 CFR Part 245A of the Department of Justice, Immigration and Naturalization Service published July 12, 1989. As it pertains to temporary disqualification of certain newly legalized aliens from receiving benefits from Federal Programs of Financial Assistance.

OER has amended it's Weatherization Assistance Client Application form so that newly legalized aliens will be identified. If the applicant is a newly legalized alien a separate documentation will be completed to insure that the client is eligible.

PROGRAM REQUIREMENT #3

Financial assistance provided under this program will be used to supplement, and not supplant, State or local funds and to increase the amounts of such funds that would be made available in the absence of Federal funds provided under this Program. The major amount of low-income funds are available through the Department of Energy and there are no State funds available to assist in the State Energy Conservation Program. Support for WAP through other Federal funding sources has been made available. The State Auditor General audits the major federally funded program administered by the OER. This single audit act is accepted by all federally cognizant agencies. In addition to the State audit, all subgrantee agencies perform an annual audit of all programs contracted to their agencies.

PROGRAM REQUIREMENT #4

To the maximum extent practical, the use of Weatherization assistance shall be coordinated with other Federal, State, local, and privately-funded programs in order to improve thermal efficiency and to conserve energy.

OER is committed to integrate the Weatherization Assistance Program into the mainstream Energy Conservation Programs throughout the State. Coordination has taken place with the OER's LIHEAP program and it's Housing Section, Housing Rehabilitation Program and the Community Development Block Grant program to make the most effective use of funds. The OER is available to assist all groups in sharing its expertise with other State and Federal Projects. Rhode Island has shared

U.S. Department of Energy

(08/05)

WEATHERIZATION ANNUAL FILE WORKSHEET (continued)

Expiration Date: 6-30-08

Grant: EE00173**Amendment: 000****State: RI****Program year: 2009****Budget period: 04/01/2009 - 03/31/2012**

information with all other states on request and works closely with Region I Weatherization Committee to enhance the Project.

PROGRAM REQUIREMENT #5

The low-income members of an Indian Tribe shall receive benefits equivalent to the assistance provided to other low-income persons within the State. Approximately one third of the major concentration of the State's Native American population of approximately 2,898 persons is located in the Providence County area, with the other two thirds spread statewide with a higher concentration in the South County area. Native Americans will be serviced in the Weatherization Program in the same manner that all other low-income Rhode Islanders are, through the local subgrantees for the area they live in.

PROGRAM REQUIREMENT #6

One hundred percent of the dwelling units shall be inspected and certified as complete by the Weatherization Service Area authorized agent, prior to reporting said dwelling units as completed homes. This provision will be made part of the Contract between the State and the Weatherization Service Agency. Please note that on OER Form 101, Weatherization Completion Form, each home must be certified as to its completion.

PROGRAM REQUIREMENT #7

All laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to the Recovery Act, shall be paid wages at not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor.

U.S. Department of Energy
STATE PLAN/MASTER FILE WORKSHEET

Grant Number: EE00173, State: RI, Program Year: 2009

This worksheet should be completed as specified in Section III of the Weatherization Assistance Program Application Package.

III.1 Eligible Population

III.1.1 General Description

Definition of income used to determine eligibility:

The income categories listed below are the same guidelines used for the Low-Income Home Energy Assistance Program (60% Median Income for Rhode Island). Rhode Island elects to use these income guidelines for the Weatherization Assistance Program per DOE rules.

FAMILY SIZE

1	\$24,395
2	31,901
3	39,407
4	46,913
5	54,420
6	61,926

STATISTICAL DATA

The source of the following statistical data is the Office of Housing, Energy & Intergovernmental Relations and based upon data from the 1990 census.

1. It is estimated that there are approximately 75,000 eligible units in which low-income people reside in the State of Rhode Island. According to information gathered by the Office of Energy Resources (OER), approximately 60% of the housing units in Rhode Island are owner occupied or 45,000 and 40% are renter occupied or 30,000.
2. According to the OER statistics, approximately 20% of those total homes are occupied by elderly residents. This breaks down to approximately 15,000 homes.
3. We were unable to attain the number of handicapped individuals or eligible dwelling units. However, the OER is committed to doing 10% of the total number of dwelling units to be completed under this grant.
4. There are approximately 2,900 Native Americans families in Rhode Island. The OER will make every effort to identify Native American completions as they are weatherized through our subgrantees on a statewide basis.
5. As defined by Rhode Island public law P.L. 1980 Chapter 291, Section 14-1-3 (C.) The term "child" shall mean person under eighteen (18) years of age.

RE-WEATHERIZATION

OER allows re-weatherization of homes previously weatherized from September 30, 1994. Since there have been many advances in weatherization technologies since 1994, some homes may have not received all weatherization services suitable for that home, including health and safety issues/concerns. OER will remind agencies that re-weatherized homes may not receive the same services provided under the previous agreement, only additional measures that were not provided at that time.

Procedures to determine that units weatherized have eligibility documentation:

No dwelling unit may be weatherized without documentation that the dwelling unit is an eligible dwelling unit. Applications for assistance under this Program will be made through the Weatherization Service Agency who has the responsibility of insuring that the applicant represents a family unit whose income is at or below minimum income guidelines. Only families with income no higher than the figures listed above may be assisted.

The contract between the OER and the Weatherization Service Area agency mandates that each agency certify the income

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STATE PLAN/MASTER FILE WORKSHEET (continued)

Grant Number: EE00173, State: RI, Program Year: 2009

eligibility of those recipients of Weatherization assistance. The OER will insure compliance through examination of records and report for each non-profit corporation. OER has also developed a statewide application that ensures that all information requested from the client allows to the maximum extent possible, the most accurate and complete data to determine income eligibility.

Definition of children: Below age 18

Recommend tribal organization(s) be treated as local applicant? No

If YES, Recommendation: If NO, statement that assistance to low-income tribe members and other low-income persons is equal:

The low-income members of an Indian Tribe shall receive benefits equivalent to the assistance provided to other low-income persons within the State.

Approximately one third of the major concentration of the State's Native American population of approximately 2,898 persons is located in the Providence County area, with the other two thirds spread statewide with a higher concentration in the South County area. Native Americans will be serviced in the Weatherization Program in the same manner that all other low-income Rhode Islanders are, through the local subgrantees for the area they live in.

III.1.2 Selection of Areas to Be Served

All 39 cities and towns in the state will be served. The 2009 Service Areas of the State have been identified as follows:

East Bay CAP: East Providence, Barrington, Warren, Bristol, Jamestown, Little Compton, Newport, Portsmouth, Tiverton, Middletown

Comprehensive CAP: Cranston, Foster, Scituate

Tri-Town: Glocester, North Providence, Burrillville, Smithfield, Johnston

South County CAP: Hopkinton, Narragansett, North Kingstown, Westerly, Exeter, South Kingstown, West Greenwich, Charlestown, New Shoreham, Richmond

West Bay CAP: Warwick, Coventry, West Warwick and East Greenwich

Pro CAP: Providence

Blackstone Valley CAP: Woonsocket, North Smithfield, Pawtucket, Lincoln, Cumberland, Central Falls

III.1.3 Priorities

Since 1995 the OER has contractually required that to maximum extent practical 50% of all completions be "High Energy Users" (HEU's). We have been successful in meeting and exceeding this goal. High Energy Users are reported to DOE in our quarterly reports. Priority will be given to identifying and providing Weatherization assistance to families, elderly and persons with disabilities who are low-income persons. The OER in accordance with past practices, has decided that elderly residences should receive priority in programs of this nature. In accordance with this, each Weatherization Service Agency is required to reserve 25% of any funds for the Weatherization of residences of the elderly. This percentage compares very favorably to the actual percentage of elderly residences within each service agency jurisdiction.

The handicapped will continue to receive priority under this program. A minimum of 10% of all agency funding for materials is to be set aside for the Weatherization of residences of low-income handicapped. Such a requirement mandates that a minimum of residences be weatherized under this section.

Compliance with these requirements is mandated by the Contract by the OER.

Efforts to contact eligible elderly/handicapped and low-income residences, is enhanced through the use of computer print out lists that will be available through recipients of fuel assistance funds. These lists will be one of the main foundations of our

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STATE PLAN/MASTER FILE WORKSHEET (continued)
Grant Number: EE00173, State: RI, Program Year: 2009

Weatherization lists.

III.2 Climatic Conditions

Local Climatological Data is an eight (8) page document that will not translate to WinSAGA. The document is on file with the NETL Office. OER will obtain a current copy and forward to NETL.

III.3 Weatherization Work

III.3.1 Type of Work to Be Done

TYPE OF WEATHERIZATION WORK TO BE DONE

The type of Weatherization work to be performed by the subgrantees will include energy measures identified by the Rhode Island Weatherization Priorities List, Rhode Island has implemented a Priorities List (PL) directed by the National Energy Audit (NEAT), as is our option under 10 CFR 440.21. The PL includes measures that have a savings to investment (SIR) ratio greater than one (1), with the exception of health and safety measures. Rhode Island's PL was re-approved by DOE in 2004. Examples of the proposed PL are included in this plan and include:

1. Health and safety measures allowable under 10 CFR 440.
2. Furnace efficiency modifications:
 - a. Replacement burners designed to substantially increase the energy efficiency of the heating system.
 - b. Devices for modifying flue opening which will increase the energy efficiency of the heating system.
 - c. Electrical or mechanical furnace ignition systems which replace standing gas pilot lights.
 - d. Boiler or heating system replacement.
3. Blower door directed air sealing.
4. Clock Thermostats.
5. Water flow controllers.
6. Ceiling, attic wall, floor and duct insulation.
7. Caulking and weatherstripping of doors and windows.
8. Water heater insulation.
9. Storm windows, multi-glazed windows and doors, heat absorbing or heat-reflective window and door materials.
10. The following installation of energy-conserving devices or technologies:
 - a. Items to improve attic ventilation.
 - b. Vapor barriers.

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STATE PLAN/MASTER FILE WORKSHEET (continued)

Grant Number: EE00173, State: RI, Program Year: 2009

c. Materials used as a patch to reduce infiltration through the building envelope.

In all cases, the approaches to Weatherization contained in the NEAT audit shall be the guide for all Weatherization work done under this Program. To the maximum extent practicable NEAT audits will be performed on each residence weatherized.

III.3.2 Energy Audit Procedures

NEAT AUDIT/PRIORITY LIST

OER is currently using the NEAT audit and has developed a priority list for the four (4) housing stock types that represent the majority of the dwelling units weatherized in Rhode Island governed by that audit. To the maximum extent practicable NEAT audits will be performed on each residence weatherized. During 2009, OER will re-evaluate and update the Weatherization Priority Measure list by completing a re-certification of the list using the National Energy Audit (NEAT). Our last audit was approved on 7/29/03.

<u>Unit Types</u>	<u>Audit Procedures and Dates Most Recently Approved by DOE</u>
Single-family	NEAT Audit / Priority List
Multi-family	NEAT Audit / Priority List
Mobile Home	NEAT Audit (when available from DOE) / Priority List

III.3.3 Final Inspection

The OER requires 100% post inspection of all units completed by subgrantees. Both the subgrantee energy auditor signs and the Weatherization Coordinator sign off on the home completion before it can be counted as a completed home. OER will continue to randomly inspect 10-15% of all completions. OER plans to develop a field monitoring tool for program monitors in 2009 in order to implement standardized reporting.

III.3.4 Assessment of Effectiveness

The analysis of our subgrantees effectiveness is discussed in detail in section III.6.3 Monitoring and II.6.4 Training and Technical Assistance. As is demonstrated therein in addition to the annual assessments of each subgrantee we have ongoing monitoring throughout the year. We also conduct monthly meeting and training sessions.

III.4 Health and Safety

See attachment.

III.5 Rental Procedures

LANDLORD/TENANT AGREEMENT

The following three (3) pages contain Rhode Island's landlord/tenant agreement. In addition to complying with 10 CFR 440.22(b)(3) we feel that the agreement affords the client better protection from unjustified rent increases and evictions. At the same time it provides the landlord with a clear understanding of what type of work will be performed, when it will be performed and by whom. It also indicates to the landlord what work he must perform (if any) prior to his property being weatherized.

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STATE PLAN/MASTER FILE WORKSHEET (continued)

Grant Number: EE00173, State: RI, Program Year: 2009

WEATHERIZATION ASSISTANCE PROGRAM - BUILDING OWNER AGREEMENT - RENTAL UNITS

It is agreed by and between _____
and _____, the Owner/Authorized Agent of the premises located
at _____
_____ as follows:

1. If the agency in its sole discretion, determines that the premises is eligible for Weatherization services, the Agency agrees to weatherize the premises in accordance with applicable codes, laws and regulations. A general description of the full range of services that may be rendered is attached. The agency agrees to forward a summary of the work scope to the owner after the energy audit is completed. In exchange for these services, the owner agrees to be bound by the terms and conditions of this Agreement for a period of two (2) years commencing on the date signed.
2. The owner agrees not to evict the tenant(s) during the period of this Agreement, except for cause. The owner agrees not to increase the rents at the premises during the period of this Agreement except to recover actual increases in property taxes or the costs of improving the premises (not resulting from this Agreement). Where this is the case, the owner agrees to recover only a pro-rata share of the cost from each tenant.
3. The owner agrees, to the maximum extent practical, to rent vacant dwelling units identified in the Attachment to this Agreement to households eligible to receive Weatherization services. Apartments that become vacant during the term of this Agreement should be rented to income eligible households.
4. The owner hereby swears or affirms that the premises is not presently being offered for sale and further agrees to give the agency thirty days (30) notification of the sale or conversion of the premises. At least ten days (10) prior to the sale or conversion the Owner agrees to obtain, in writing, the purchaser's consent to assume the owner's obligations under this Agreement or, if this consent is not obtained, to pay the agency the full cost of Weatherization pro-rated by the number of months left under this Agreement.
5. The owner agrees to make the repairs or improvements (if any) specified in the owner's work agreement attached to this Agreement. The agency need not commence its work until this work is done to the agency's satisfaction. If the Owner fails to complete, or cause to be completed, the work to the agency's satisfaction, the agency may complete the work or cause it to be completed and charge the landlord accordingly including charges for support services and reasonable attorney fees.
6. The owner agrees to maintain the Weatherization materials installed under this Agreement in accordance with all relevant codes.
7. The agency agrees to begin installation of Weatherization materials on or about _____, or as soon as the dwelling is first on the agencies waiting list. From this date through the completion of the Weatherization work, the Owner agrees to give the Agency access during normal business hours to all dwelling units and common areas to be weatherized.
8. The agency and owner agree that the tenants, present and future, are meant as the persons to benefit from the Weatherization program and may enforce this Agreement. The agency shall provide a synopsis of this Agreement to each tenant. The agency agrees to provide a copy of this Agreement to all future tenants while this Agreement is in effect.
9. The agency agrees to give the owner written notice of non-compliance with this Agreement and the grounds for the notice. If within seven (7) days of receipt of the notice, the owner fails to take responsible steps to come into compliance, the Owner will be considered in default of the Agreement.
10. Upon default of this Agreement, the owner agrees to pay to the Agency the full cost incurred by the agency relating to this Agreement, including materials, labor, support and administrative costs. In the event that the Agency has not begun to weatherize the premises at the time of default, the owner will be required to pay the agency for any custom order materials and an amount equal to ten percent 10% of the projected Weatherization costs.

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STATE PLAN/MASTER FILE WORKSHEET (continued)

Grant Number: EE00173, State: RI, Program Year: 2009

I certify that I have given my permission to allow work on the property listed above, and that I will not be held liable for any injuries or damage.

Owner/Authorized Agent DATE

For the Agency DATE

EXHIBIT A

Eligible Dwelling Units and Rents

The documented eligible dwelling units including designated eligible vacant units which are to be weatherized or caused to be weatherized by the Agency under the attached Agreement and each unit's rent as of the effective date of the attached Agreement are as follows:

Address: _____

Unit Number or Description Rent as of _____

Initials: _____
 OWNER AGENCY

EXHIBIT B

Weatherization Agency's Work Scope:

The Agency's Building Check and Job Order Sheet, Apartment Building Job Order Sheet, or other Approved Audit and Work Scope may be used as Exhibit B providing it clearly outlines that work and only that work to be performed by the Agency under the WAP.

Initials: _____
 OWNER AGENCY

EXHIBIT C

OWNER'S WORK SCOPE:

Initials: _____
 OWNER AGENCY

III.6 Program Management

III.6.1 Overview

IMPLEMENTATION

When the State is awarded a grant, contracts with the subgrantees will be processed and funds awarded. For clarification purposes, the OER will conduct a training session with all subgrantees to insure that all grant requirements will be met.

In Response To 10CFR 440.14:

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STATE PLAN/MASTER FILE WORKSHEET (continued)

Grant Number: EE00173, State: RI, Program Year: 2009

All Weatherization programs operated by the subgrantees are funded by OER. Our completion goal for 2008 was 243 units, and to date we have completed 258, 106% of our goal. The Weatherization project is statewide. To achieve this, subgrantees were selected based on their ability to serve the public and as a result of an RFQ in September 1999. Historically Community Action Agencies have administered the Weatherization Program at the local level. As of December 31, 2008, approximately 34,235 units have been completed with DOE and other leveraged funds since the beginning of the program.

A priority in the Rhode Island State Weatherization Plan is that 25% of the money will be used for elderly and 10% of the funds for handicapped. No distinction is made between renters and homeowners. Priority is also given to emergency situations such as a major infiltration problems or boiler repair. A priority will be given to weatherizing high energy consuming units as identified through the LIHEAP program as required by OER.

In accordance with 10 CFR 440.22(b)(3) " No undue or excessive enhancement shall occur to the value of the dwelling units." Compliance with the 10 CFR 440 as it pertains to renters and landlords is discussed in the landlord/tenant agreement section of this plan. In addition to our landlord/tenant agreement that affords renters greater protection the OER does take steps that in the rare instances of large multifamily dwellings (six units or more) that no undue enhancement occurs. An example of these steps is in two (2) large (15+ units) buildings the agencies involved and OER negotiated with the owner. The result was that WAP provided the materials and the owner provided all the labor and then the work was inspected by WAP staff.

The reason that Rhode Island does not budget any funds for low cost, no cost Weatherization is that the measures under that category have been found to be less than cost efficient. In 1982 approximately 15,000 low cost, no cost kits were distributed to potential Weatherization clients. The end result was that a high percentage of these kits were only partially installed. It is felt that the most cost effective use of Weatherization funds is conventional Department of Energy Weatherization.

In 2009, the average cost per unit is projected at \$6,500 including \$500 per unit for Health & Safety. OER has established several State program requirements, they are as follows:

\$6,500 average unless they receive a waiver from the OER to exceed that limit. No limit will be pre-set on any unit that a waiver is requested for, however any costs above \$6,500 must be justified to the OER.

\$300 limit on repairs unless the subgrantee request a waiver to exceed that amount on an individual unit.

All boiler repair or replacement must be reported to the OER prior to the work being performed.

III.6.2 Administrative Expenditure Limits

With the ARRA grant, all Rhode Island subgrantees will receive more than \$350,000 in Weatherization funds, so 5% in administration funds have been allocated to each agency per the DOE guidance.

*Should additional funds become available from DOE, or if funds are reduced, similar proportionate allocations will be made. Actual allocations of funds will take place in April of 2008 utilizing an incidence of poverty/past performance formula.

III.6.3 Monitoring Approach

MONITORING

The OER will monitor subgrantee activities for proper efficient management to insure that the highest quality Weatherization service is provided to eligible low-income Rhode Islanders. Subgrantee monitoring is a daily on-going process which entails

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STATE PLAN/MASTER FILE WORKSHEET (continued)

Grant Number: EE00173, State: RI, Program Year: 2009

the coordinated and cooperative efforts of OER and its subgrantees.

There are basically two types of subgrantee monitoring being performed. The first being in-house reporting analysis and secondly the actual subgrantee on-site monitoring visits.

IN-HOUSE ANALYSIS

In-house analysis is done through a review and evaluation of program and financial reports submitted to the OER by the subgrantees. Each month these reports are analyzed and the performance of the subgrantees evaluated. OER staff reviews each subgrantee monthly completions and programs expenditures and compares them against the planned completions and expenditures outlined in the subgrantees contracts and budgets.

The monthly reviews enable the OER to follow the progress of each subgrantee operation to "head off" any problems which may arise. If any problems are anticipated, the OER will address them by implementing corrective action as necessary before the problems have any serious effects on the Weatherization program at the subgrantee agencies.

III.6.4 Training and Technical Assistance Approach

TRAINING AND TECHNICAL ASSISTANCE APPROACH

Training and Technical Assistance activities as outlined in the Annual File portion of this application are directed at improving coordination with the subgrantees on a statewide basis. The OER feels that by involving the subgrantees in statewide training programs such as Weatherization skills training workshops, our joint goal of more cost-effective services for the low-income community can be achieved. The main emphasis of this training is to insure that all subgrantees are current with technical advances in the program such as blower door use and updates on the NEAT audit. OER has progressively upgraded its standards for materials and installation by keeping up to date with the most recent advances in technology. Regularly scheduled meetings with all subgrantee Weatherization coordinators is vital to the program. They enable the OER to clarify what is expected of the subgrantees and let us update them on the status and direction of the program within Rhode Island. These meetings also enable the subgrantees to compare their programs and give the OER input as to problems or changes they would like to see affected. Dependent upon the importance of the issues to be discussed at these meetings, subgrantees are advised in the meeting notice which agency personnel should attend and whether or not the meeting is mandatory.

The final component of our T&TA objectives is the client contacts. This vital component enables the OER to hear directly from our clients how satisfied they are with the work performed and also enables our state monitors to follow up on client education of the owners about reduce their energy consumption. A more detailed listing of training opportunities is outlined in the Annual File. OER does mandate certain technical certifications including: Lead Assessor License; lead-safe work practices/renovator remodeler training, blower door training, smoke/CO detector training, and others.

III.6.5 Energy Crisis Plan

ENERGY CRISIS RELIEF (ECR)

As mentioned in the annual file II.11, OER currently utilizes LIHEAP funds for ECR and we have not budgeted any DOE funds at this time, however, if DOE receives a supplemental appropriation for WAP the OER may exercise the option to fund ECR with DOE funds.

The activities and measures that will be performed under ECR are, but are not limited to, repair of heating systems, replacement of unrepairable heating systems, repair of gas or other fuel lines, repair or replacement of broken windows, loans of auxiliary health and safety issues as they arise.

DOE F 540.5

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(08/05)

**WEATHERIZATION ASSISTANCE PROGRAM
SUBGRANTEE INFORMATION**

Expiration Date: 6-30-08

State: RI Grant Number: EE00173 Program Year: 2009

Name:	Comprehensive Community Action Programs	Contact:	Joanne McGunagle, Dir./Joanne Gregory, Wx Coord.
Address:	311 Doric Avenue Cranston, RI 02910	Phone:	(401)467-9610
		Fax:	(401)467-9030
		Email:	
Counties served:	Providence	Tentative allocation:	\$ 1,484,246.00
		Planned units:	206
		Type of organization:	Local agency
		Congressional districts served:	<u>CD</u> 02
Name:	East Bay Community Action	Contact:	Dennis Roy, Dir./Deborah Hambly, Wx Coord.
Address:	100 Bullocks Point Avenue Riverside, RI 02915	Phone:	(401)437-1000
		Fax:	(401)437-3353
		Email:	
Counties served:	Bristol Newport	Tentative allocation:	\$ 1,496,462.00
		Planned units:	208
		Type of organization:	Non-profit organization
		Congressional districts served:	<u>CD</u> 01
Name:	Providence C.A.P.	Contact:	Frank Corbishley, Dir./Helen Lallo, Wx Coord.
Address:	518 Hartford Avenue Providence, RI 02909	Phone:	(401)273-2000
		Fax:	(401)273-2007
		Email:	
Counties served:	Providence	Tentative allocation:	\$ 2,736,389.00
		Planned units:	380
		Type of organization:	Local agency
		Congressional districts served:	<u>CD</u> 01
Name:	RI Blackstone C.A.P.	Contact:	Vincent S. Ceglie, Dir./Ron Fortier, Wx. Coord
Address:	32 Goff Avenue Pawtucket, RI 02860	Phone:	(401)723-4520
		Fax:	(401)722-1053
		Email:	
Counties served:	Providence	Tentative allocation:	\$ 2,003,427.00
		Planned units:	278
		Type of organization:	Local agency
		Congressional districts served:	<u>CD</u> 01
Name:	RI South County C.A.P.	Contact:	Joseph DeSantis, Dir./Carl Mattson, Wx Coord
Address:	1080 Kingstown Road Wakefield, RI 02879	Phone:	(401)789-3016
		Fax:	(401)884-4284
		Email:	
Counties served:	Kent Washington	Tentative allocation:	\$ 1,478,139.00
		Planned units:	205
		Type of organization:	Local agency
		Congressional districts served:	<u>CD</u> 02

DOE F 540.5

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OMB Control No. 1910-5127

(08/05)

**WEATHERIZATION ASSISTANCE PROGRAM
SUBGRANTEE INFORMATION**

Expiration Date: 6-30-08

State: RI Grant Number: EE00173 Program Year: 2009

Name: RI Tri-Town E.O.C., Inc.		Contact: Joseph DeSantis, Dir/Mr. Soloman Moghtaderi, Wx Coord
Address: 1126 Hartford Avenue Johnston, RI 02919		Phone: (401)351-2750 Fax: (401)351-6612 Email:
Counties served: Providence	Tentative allocation: \$ 1,465,924.00 Planned units: 204 Type of organization: Local agency	Congressional districts served: <u>CD</u> 02

Name: RI Westbay C.A.P., Inc.		Contact: Jeanne Gattegno, Dir/Darlene Lemoi, Wx Coord.
Address: 205 Buttonwoods Avenue Warwick, RI 02886		Phone: (401)732-4660 Fax: (401)739-2761 Email:
Counties served: Kent	Tentative allocation: \$ 1,551,435.00 Planned units: 215 Type of organization: Local agency	Congressional districts served: <u>CD</u> 02

Name: To Be Determined		Contact:
Address: Capitol Hill Providence, RI 02908-5850		Phone: () - Fax: () - Email:
Counties served:	Tentative allocation: \$ 6,016,846.00 Planned units: 836 Type of organization:	Congressional districts served: <u>CD</u>

BUDGET INFORMATION - Non-Construction Programs

1. Program/Project Identification No. Amendment number:	EE00173 A000	2. Program/Project Title Weatherization Assistance For Low Income Persons
3. Name and Address State of Rhode Island Department of Administration Providence RI 02908-	4. Program/Project Start Date 04/01/2009	5. Completion Date 03/31/2012

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. DOE	81.042	\$ 0.00		\$ 20,073,615.00		\$ 20,073,615.00
2. STATE			\$ 0.00		\$ 0.00	\$ 0.00
3.						
4.						
5. TOTALS		\$ 0.00	\$ 0.00	\$ 20,073,615.00	\$ 0.00	\$ 20,073,615.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Grantee Admin.	(2) Subgrantee Admin.	(3) Grantee T & TA	(4) Liability Insurance	
A. PERSONNEL	\$ 419,909.00	\$ 0.00	\$ 252,339.00	\$ 0.00	\$ 672,248.00
B. FRINGE BENEFITS	\$ 266,222.00	\$ 0.00	\$ 159,983.00	\$ 0.00	\$ 426,205.00
C. TRAVEL	\$ 48,600.00	\$ 0.00	\$ 45,000.00	\$ 0.00	\$ 93,600.00
D. EQUIPMENT	\$ 5,100.00	\$ 0.00	\$ 6,900.00	\$ 0.00	\$ 12,000.00
E. SUPPLIES	\$ 9,000.00	\$ 0.00	\$ 10,000.00	\$ 0.00	\$ 19,000.00
F. CONTRACTUAL	\$ 0.00	\$ 1,356,790.00	\$ 617,694.00	\$ 105,000.00	\$ 18,850,562.00
G. CONSTRUCTION	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
H. OTHER	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
I. TOTAL DIRECT CHARGES	\$ 748,831.00	\$ 1,356,790.00	\$ 1,091,916.00	\$ 105,000.00	\$ 20,073,615.00
J. INDIRECT CHARGES	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
K. TOTALS	\$ 748,831.00	\$ 1,356,790.00	\$ 1,091,916.00	\$ 105,000.00	\$ 20,073,615.00
7. PROGRAM INCOME	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

BUDGET INFORMATION - Non-Construction Programs

1. Program/Project Identification No. EE00173 Amendment number: A000		2. Program/Project Title Weatherization Assistance For Low Income Persons	
3. Name and Address State of Rhode Island Department of Administration Providence RI 02908-		4. Program/Project Start Date 04/01/2009	
		5. Completion Date 03/31/2012	

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.						
2.						
3.						
4.						
5. TOTALS		\$ 0.00	\$ 0.00	\$ 20,073,615.00	\$ 0.00	\$ 20,073,615.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) SUBGRANTEE T&TA	(2) Health and Safety	(3) Financial Audits	(4) Standard Program Operations	
A. PERSONNEL	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 672,248.00
B. FRINGE BENEFITS	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 426,205.00
C. TRAVEL	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 93,600.00
D. EQUIPMENT	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 12,000.00
E. SUPPLIES	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 19,000.00
F. CONTRACTUAL	\$ 231,000.00	\$ 1,266,000.00	\$ 84,000.00	\$ 15,190,078.00	\$ 18,850,562.00
G. CONSTRUCTION	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
H. OTHER	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
I. TOTAL DIRECT CHARGES	\$ 231,000.00	\$ 1,266,000.00	\$ 84,000.00	\$ 15,190,078.00	\$ 20,073,615.00
J. INDIRECT CHARGES	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
K. TOTALS	\$ 231,000.00	\$ 1,266,000.00	\$ 84,000.00	\$ 15,190,078.00	\$ 20,073,615.00
7. PROGRAM INCOME	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

**U.S. DEPARTMENT OF ENERGY
GOLDEN FIELD OFFICE**



BUDGET EXPLANATION FOR FORMULA GRANTS

Applicant: State of Rhode Island

Budget period: 04/01/2009 - 03/31/2012

Award number: EE00173

Amendment number: A000

1. PERSONNEL - Prime Applicant only (all other participant costs are listed in 6 below and form DOE F 4600.4, Section B. Line 6.f. Contracts and Sub-Grants).

Positions to be supported under the proposed award and brief description of the duties of professionals:

Position	Description of Duties of Professionals
Chief Resource Specialist	50% of annual time. The person serves as Weatherization Assistance Program Manager and is responsible for the preparation of the State Plan/Application and its implementation. TOTAL COST FOR THREE YEARS: \$100,966.50 (with annual salary of \$67,331)
Project Manager	30% of annual time. This person is in charge of all Low-Income Energy Programs, including work performed under WAP. TOTAL COST FOR THREE YEARS: \$70,336.80 (with annual salary of \$78,152)
Supervisor of Financial Management	30% of annual time. This person is in charge of fiscal planning, monitoring and reporting of WAP operations. TOTAL COST FOR THREE YEARS: \$86,040.90 (with annual salary of \$95,601)
Senior Resource Specialist	30% of annual time. This person serves as support to the fiscal supervisor and is responsible for fiscal and program monitoring, processing payments to agencies. TOTAL COST FOR THREE YEARS: \$46,413 (with annual salary of \$51,570)
Senior Resource Specialist	30% of annual time. This person serves as support to the fiscal supervisor and is responsible for fiscal and program monitoring, processing payments to agencies. TOTAL COST FOR THREE YEARS: \$49,229.10 (with annual salary of \$54,699)
Junior Resource Specialist	50% of annual time. This person serves as administrative support including compiling of weatherization data including heating replacement program data, monthly summaries. TOTAL COST FOR THREE YEARS: \$66,892.50 (with annual salary of \$44,595)
Senior Resource Specialist	50% of annual time. This person is part of the weatherization monitoring team and is responsible for monitoring WAP program operations at the local agencies to ensure compliance with program rules and regulations. TOTAL COST FOR THREE YEARS: \$77,463 (with annual salary of 51,642)
Senior Resource Specialist	50% of annual time. This person is part of the weatherization monitoring team and is responsible for monitoring WAP program operations at the local agencies to ensure compliance with program rules and regulations. TOTAL COST FOR THREE YEARS: \$84,840 (with annual salary of \$56,560)
Senior Resource Specialist	50% of annual time. This person is part of the weatherization monitoring team and is responsible for monitoring WAP program operations at the local agencies to ensure compliance with program rules and regulations. TOTAL COST FOR THREE YEARS: \$90,036 (with annual salary of \$60,024)

Direct Personnel Compensation:

Position	Salary/Rate	Time	Direct Pay
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06/05/2009

Chief Resource Specialist	\$201,993.00	50 % FT	\$100,996.50
Project Manager	\$234,456.00	30 % FT	\$70,336.80
Supervisor of Financial Management	\$286,803.00	30 % FT	\$86,040.90
Senior Resource Specialist	\$154,710.00	30 % FT	\$46,413.00
Senior Resource Specialist	\$164,097.00	30 % FT	\$49,229.10
Junior Resource Specialist	\$133,785.00	50 % FT	\$66,892.50
Senior Resource Specialist	\$154,926.00	50 % FT	\$77,463.00
Senior Resource Specialist	\$169,680.00	50 % FT	\$84,840.00
Senior Resource Specialist	\$180,072.00	50 % FT	\$90,036.00
Direct Pay Total			\$672,247.80

2. FRINGE BENEFITS

- a. Are the fringe cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and include a copy of the rate agreement.

- b. If a above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations as an attachment.

Items included in fringe benefits are: Retirement, Health Insurance and F.I.C.A. Fringe benefits are based on actual projected costs, Rhode Island has followed this procedure for the past five years. Benefit rate calculated at 35.2614% for all WAP positions. TOTAL COST: \$89,633.04

Fringe Benefits Calculations

Position	Direct Pay	Rate	Benefits
Chief Resource Specialist	\$100,996.50	63.40 %	\$64,031.78
Project Manager	\$70,336.80	63.40 %	\$44,593.53
Supervisor of Financial Management	\$86,040.90	63.40 %	\$54,549.93
Senior Resource Specialist	\$46,413.00	63.40 %	\$29,425.84
Senior Resource Specialist	\$49,229.10	63.40 %	\$31,211.25
Junior Resource Specialist	\$66,892.50	63.40 %	\$42,409.85
Senior Resource Specialist	\$77,463.00	63.40 %	\$49,111.54
Senior Resource Specialist	\$84,840.00	63.40 %	\$53,788.56
Senior Resource Specialist	\$90,036.00	63.40 %	\$57,082.82
Fringe Benefits Total			\$426,205.10

3. TRAVEL - Identify total foreign and domestic travel as separate items.

- a. Proposed travel:

Purpose of Trip	Number of Trips	Cost Per Trip	Total
NASCAP Training Conference (3 years)	6	\$2,000.00	\$12,000.00
DOE Training Conferences (3 years)	6	\$2,300.00	\$13,800.00
NASCAP Spring Training Conference (3 years)	6	\$2,300.00	\$13,800.00
DOE Regional Training Conferences (3 years)	6	\$1,500.00	\$9,000.00
In-State Travel, Maintenance, Other (\$15,000 per year, over 3 years)* (see below)	3	\$15,000.00	\$45,000.00

06/05/2009

Travel Total	\$93,600.00
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- b. Basis for computation of travel expenses (e.g., current airline quotes, past trips, federal or organization travel policy, etc.):

To provide funds for three (2) state personnel to attend DOE's training and management conferences for three years. To support travel costs for the Project Manager and Supervisor of Financial Management to attend DOE and NASCSP training conferences. All travel is based on best available estimates and conforms to the State of Rhode Island travel policy. In-State travel, vehicle maintenance including gas over three years. TOTAL COST: \$93,600

4. EQUIPMENT - As defined in 10 CFR 660.202. Definitions are at http://www.access.gpo.gov/nara/cfr/waisidx_00/10cf

- a. Basis of cost estimates (e.g., vendor quotes, prior purchases of similar or like items, etc.):

Vendor quotes, manufacturer price lists. Under \$5,000, three quotes are required, over \$5,000 item must go out to bid using the state bidding process.

- b. Equipment to be purchased and justification of need:

Equipment	Unit Cost	Number	Total Cost	Justification of Need
Desktop computers	\$1,200.00	3	\$3,600.00	Upgrade/replacement of older desktop models
Desktop printers	\$500.00	3	\$1,500.00	Upgrade/replacement of older models
Infrared camera	\$6,000.00	1	\$6,000.00	To test every home for air leakage
Digital Cameras	\$300.00	3	\$900.00	Training and Monitoring
Equipment Total			\$12,000.00	

5. MATERIALS AND SUPPLIES - As defined in 10 CFR 660.202.

- a. Basis cost estimates (e.g., vendor quotes, prior purchases of like items, etc.):

Prior purchases of similar like items.

- b. Supplies to be purchased and justification of need:

General Category	Cost	Justification of Need
Stationary & Miscellaneous Office Supplies	\$5,000.00	Based on the historic needs of the Weatherization Program for two years
Phone Service for the Office	\$4,000.00	Based on historic needs of the Weatherization Program for two years
T&TA	\$10,000.00	Materials for training of subgrantees and contractors and to provide materials for public education and informational handouts - two years
Materials and Supplies Total	\$19,000.00	

6. CONTRACTS AND SUBGRANTS - All other participant costs including subcontractor sub-grants, and consultants

For ongoing subcontractors and sub recipients described elsewhere in the application, document and item number is listed.

Name of Proposed Sub	Total Cost	Basis of Cost*
State of Rhode Island (Grantee) Consultant	\$15,000.00	Current Market rate for energy industry trainers/professionals who provide pressure diagnostic and heating system training, and costs incurred in previous training sessions - two years
State of Rhode Island (Grantee) Consultant	\$10,000.00	Based on industry quotes and costs of previous similar PR work, AltonJones conference expenses for statewide 2 day training

06/05/2009

WAP Subgrantee Contracts to Community Action Agencies	\$18,232,868.00	Based on CAA proposals which include total units to be weatherized and administrative expenses allowable according to WAP rules. Refer to page 1 of Annual File Worksheet, Section II.3 for Subgrantee Allocation Chart.
Contracts and Subgrants Total	\$18,257,868.00	

*For example, Competitive, Historical, Quote, Catalog

7. **OTHER DIRECT COSTS** - All direct costs not included in above categories

a. Basis for cost estimates (e.g., vendor quotes, prior purchase of similar items, etc.):

b. Other direct costs and justification of need:

General Description	Cost	Justification of Need
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8. **INDIRECT COSTS**

a. Are the indirect cost rates approved by a Federal agency? If so, identify the agency and date of latest rate agreement or audit and provide a copy of the rate agreement.
Rhode Island does not charge DOE any indirect cost, so no rate agreement is in place. Any Weatherization personnel cost that are not line-itemed in the grant are paid utilizing other funding from either utilities or LIHEAP funds.

b. If the above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations separately.

The name and phone number of the individual responsible for negotiating the State's indirect cost rates.

Name:

Phone Number: () -